

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Coventry University

Application No./Patent No.: 10/574,166 Filed/Issue Date: March 29, 2006

Entitled: FLUORESCENT COMPOUND

Coventry University, a university
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
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3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

R.C. Jenkins
Signature

MR RICHARD JENKINS
Printed or Typed Name

DIRECTOR - LEGAL SERVICES
Title

8* May 2006
Date

02476887835
Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**UNITED STATES PATENT AND TRADEMARK OFFICE**

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 18, 2006

PTAS

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YOUNG LAW FIRM
4370 ALPINE RD., STE. 106
PORTOLA VALLEY, CA 94028

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

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DOC DATE: 05/08/2006

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DOC DATE: 05/08/2006

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RHONDA NICOL, SUPERVISOR
ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

PATENT ASSIGNMENT

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Stylesheet Version v1.1

05/18/2006
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SUBMISSION TYPE:	NEW ASSIGNMENT						
NATURE OF CONVEYANCE:	ASSIGNMENT						
CONVEYING PARTY DATA							
<table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>Daniel Eric LYNCH</td><td>05/08/2006</td></tr><tr><td>John HEPTINSTALL</td><td>05/08/2006</td></tr></tbody></table>		Name	Execution Date	Daniel Eric LYNCH	05/08/2006	John HEPTINSTALL	05/08/2006
Name	Execution Date						
Daniel Eric LYNCH	05/08/2006						
John HEPTINSTALL	05/08/2006						
RECEIVING PARTY DATA							
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PROPERTY NUMBERS Total: 1							
<table border="1"><thead><tr><th>Property Type</th><th>Number</th></tr></thead><tbody><tr><td>Application Number:</td><td>10574166</td></tr></tbody></table>		Property Type	Number	Application Number:	10574166		
Property Type	Number						
Application Number:	10574166						
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Total Attachments: 2 source=6006 EXECUTED ASSIGNMENT#page1.tif source=6006 EXECUTED ASSIGNMENT#page2.tif							

OP \$40.00 10574166

ASSIGNMENT

I, Daniel Eric LYNCH and I, John HEPTINSTALL, both residing at c/o Coventry University, Priory Street, Coventry, Great Britain CV1 5FB, hereinafter ASSIGNORS, do hereby sell, assign and transfer to COVENTRY UNIVERSITY, hereinafter ASSIGNEE, having its principal place of business at Priory Street, Coventry, Great Britain CV1 5FB, the entire right, title and interest, together with all rights of priority, in and to my invention for **FLUORESCENT COMPOUND**, and as described and claimed in a 35 U.S.C. §371 National Phase of International Application No. PCT/GB04/04167, which was filed in the United States Patent and Trademark Office on March 29, 2006, and accorded Serial No. 10/574,166, and as described and/or claimed in any and all applications for Letters Patent based thereon including divisions, continuations and reissues thereof as well as all foreign counterparts thereof together with all Letters Patent issuing on any of the aforesaid applications for Letters Patent, the same to be held and enjoyed by ASSIGNEE, its successors, assigns or other legal representatives, to the full ends of the terms of all said Letters Patent therefor which may be granted.

AND I HEREBY AUTHORIZE AND EMPOWER ASSIGNEE, its successors, assigns and legal representatives or nominees, to make applications for patent or other form of protection for my invention referenced above, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from me, and to receive Letters Patent for said invention in any foreign countries in its own name, or in my name, at its election.

AND I HEREBY COVENANT AND AGREE that I will execute or procure any further necessary assurance of title to said invention and any Letters Patent which may issue therefor and that I will, at any time, upon the request and at the expense of ASSIGNEE deliver any testimony in any legal proceedings and execute all papers that may be necessary or desirable to perfect the title to said invention or any Letters Patent which may be granted therefor in ASSIGNEE, its successors, assigns, or other legal representatives, and that I will, at any time, upon the request and

at the expense of ASSIGNEE execute any continuations, divisions, reissues, or any other additional applications for Letters Patent for said invention or any part or parts thereof, all of which applications and any Letters Patent issuing thereon are hereby assigned to ASSIGNEE, and will make all rightful oaths, and do all lawful acts required to procure the same therein, without further compensation, but at the expense of ASSIGNEE, its successors, assigns or other legal representatives.

AND I HEREBY AUTHORIZE AND REQUEST the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States for said invention, resulting from any of the aforesaid applications to said sole assignee.

AND I HEREBY CONSENT that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of the said assignee or nominee to claim the aforesaid benefit of the right priority provided by the International Convention for the Protection of Industrial Property as, or by any convention which may henceforth be substituted for it.

AND I COVENANT with said assignee, its successors, assigns and legal representatives that the rights and property herein conveyed are free and clear of any encumbrance and that I have full right to convey the same as herein expressed.


Daniel Eric LYNCH

8-5-06.
Date


John HEPTINSTALL

May 2006
Date